

### **Group 3:**

#### **Challenges of supervision and enforcement in the field of financial markets in the EU**

##### **15.15-16.15**

*This workshop invites private actors, practitioners, public officials and academics to discuss the challenges in the supervision and enforcement in the field of financial markets. The aim is to bring together perspectives of different actors dealing with these issues to identify challenging issues and ways for collaboration to contribute to addressing those challenges.*

*The panel will start with short interventions (pitches) critically reflecting on the state of affairs and recent changes in the field of supervision and enforcement of financial markets in the EU from three perspectives: a public supervisor, a private actor and an academic perspective. An open discussion will focus on, though not limited to, such issues as the clarity of the division of competences between the EU supervisors (ECB, ESMA) and its national counterparts, the 'reality check' upon implementation and operation of EU financial regulations and relevant public controls and legal protection of private actors in the EU, cooperation between EU and national authorities in the field of anti-money laundering and terrorist financing supervision, and various types of enforcement.*

15.15: Opening by the Chair of the panel

**Prof. Dr. Alex Brenninkmeijer, member of the European Court of Auditors**

Short interventions by the panelists

**Dr. Margot Aelen, DNB**

**Ms. Ellen Bish, ING**

**Mr. David Henry Doyle, S&P Global**

**Dr. Miroslava Scholten, Utrecht University (Department of Law)**

**Dr. Peter-Jan Engelen, Utrecht University (Department of Economics)**

An open discussion on 'what's next (in research) and how?'

16.10: Concluding by the Chair (formulating his message for the plenary discussion)