

Rechtsgeleerdheid

## Arctic Fisheries and International Law

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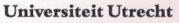
Arctic Summer College, 30 June 2014



### Rechtsgeleerdheid

## **Overview of this lecture**

- International law of the sea
- International fisheries law
  - Introduction
  - Basic rights and duties of states
  - International legal framework
- International fisheries law and the Arctic
  - Introduction
  - RFMOs & Arrangements relevant to the Arctic marine area & the Arctic Ocean
  - Towards a regional agreement on Central Arctic Ocean fisheries
  - Fisheries regulation in coastal state maritime zones of the Arctic Ocean





## International law of the sea

- Rights and obligations of states (esp. coastal and flag states) regarding the sea
- United Nations Convention on the Law of the Sea (LOS Convention)
  - Constitution of the Oceans
  - Negotiation between 1973-1982; in force 1994
  - 165 states and EU are party
  - Framework convention
    - General rights and obligations
    - Regulation of maritime activities by states individually or collectively (e.g. through international organizations)

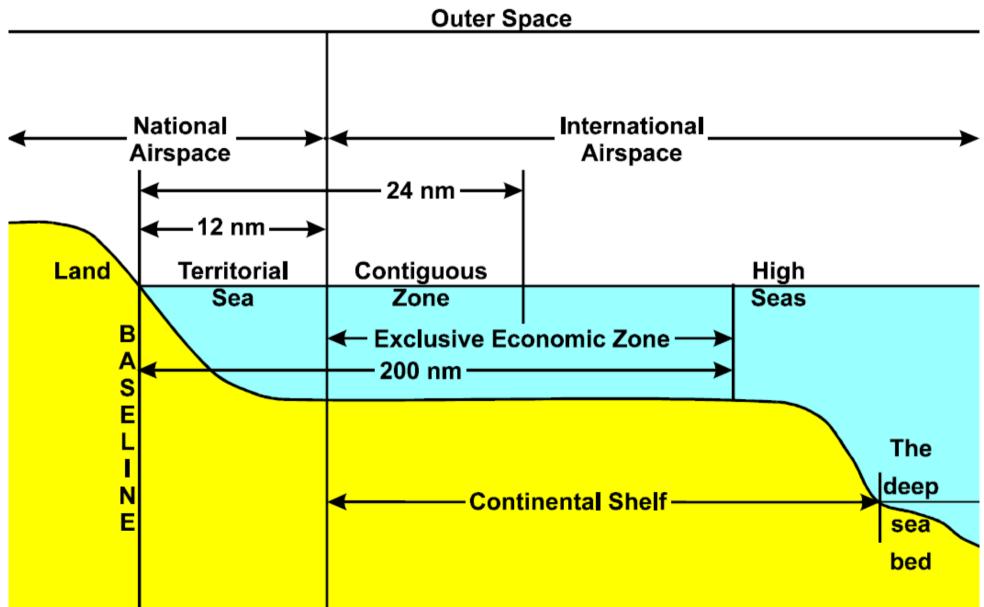


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## International law of the sea (cont.)

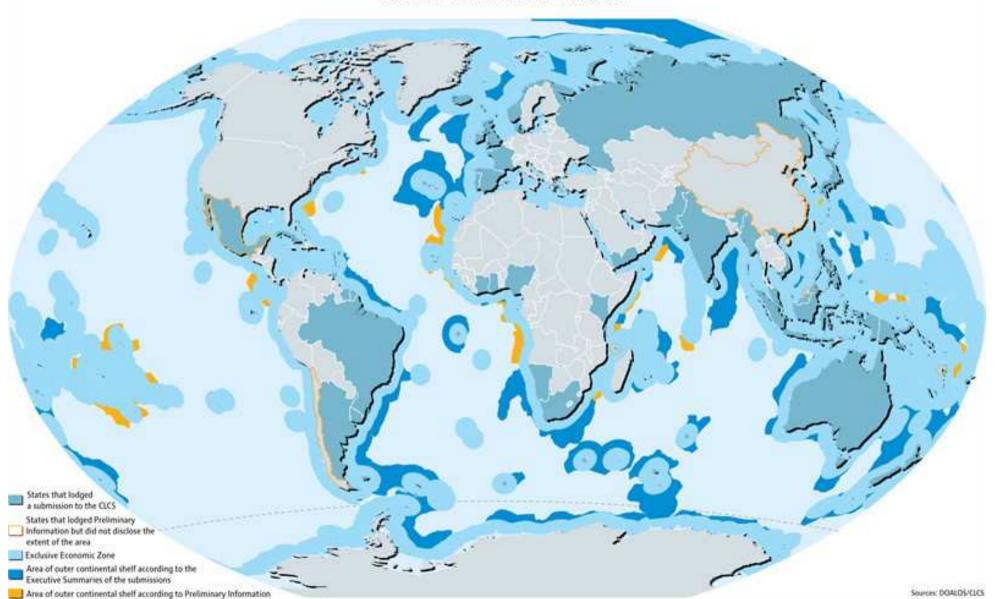
- Maritime zones
  - Within coastal state sovereignty (territory)
    - (Maritime) internal waters
    - Archipelagic waters
    - Territorial sea
  - Within coastal state's functional jurisdiction
    - Contiguous zone
    - Exclusive economic zone (EEZ)
    - (outer) continental shelf
  - Areas beyond national jurisdiction (ABNJ)
    - High seas  $\rightarrow$  primacy of flag state jurisdiction
    - Area → competence of International Seabed Authority (ISA) over minerals

## Maritime zones



## Maritime zones

Global distribution of outer continental shelf





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## International fisheries law



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## Introduction

- Marine capture fisheries: not
  - Freshwater fisheries
  - Aquaculture
  - Marine mammals



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## Introduction (cont.)

- 'Juridical' categories of fish stocks
  - Discrete inshore fish stocks
  - Transboundary fish stocks
    - Shared or joint stocks (2 or more EEZs)
    - Straddling stocks (1 or more EEZ + high seas)
    - Highly migratory stocks (Annex I LOS Convention, e.g. tuna)
    - Anadromous (e.g. salmon)
    - Catadromous (e.g. eel)
  - Discrete high seas fish stocks



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## Basic rights and duties of states

- Rights
  - Coastal states: exclusive access to fish in:
    - Maritime zones under sovereignty (internal waters, archipelagic waters and territorial seas)
    - Maritime zones with sovereign rights (EEZ and continental shelf)
  - Flag states
    - Freedom of fishing on the high seas
    - Access to the surplus of the TAC in the EEZ through public (bilateral or multilateral) access agreement (optimum utilization)



## Basic rights and duties of states (cont.)

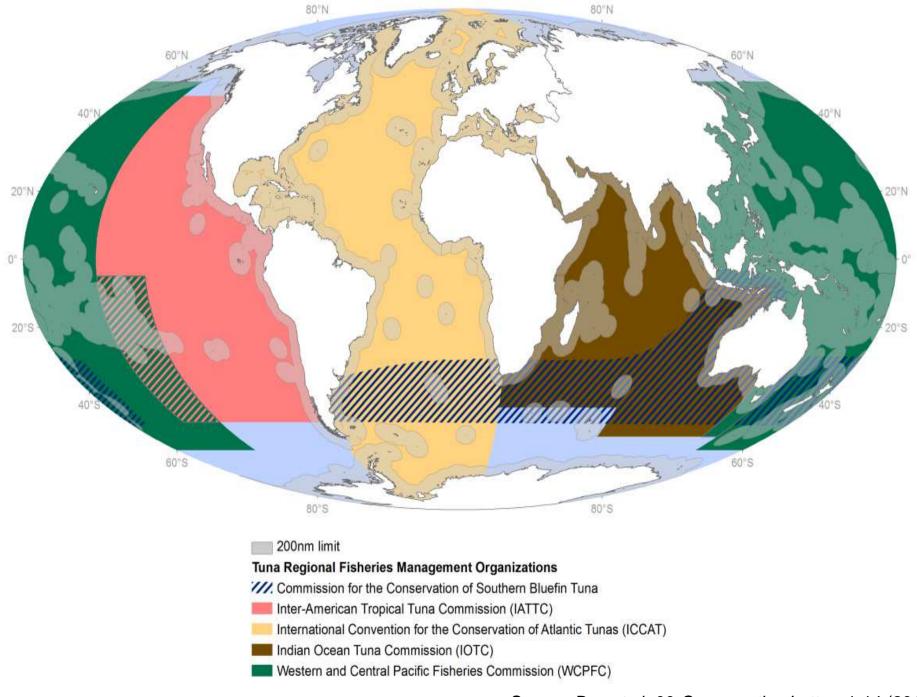
### Duties

- Avoiding over-exploitation target species
  - Science-based TAC  $\rightarrow$  MSY + precautionary approach
- Striving for optimum utilization target species
  - Providing access to surplus TAC
- Applying ecosystem approach to fisheries management (EAF)
  - E.g. by-catch and dependent species
- Cooperating re transboundary and discrete high seas fish stocks
- Exercising effective jurisdiction and control over own vessels

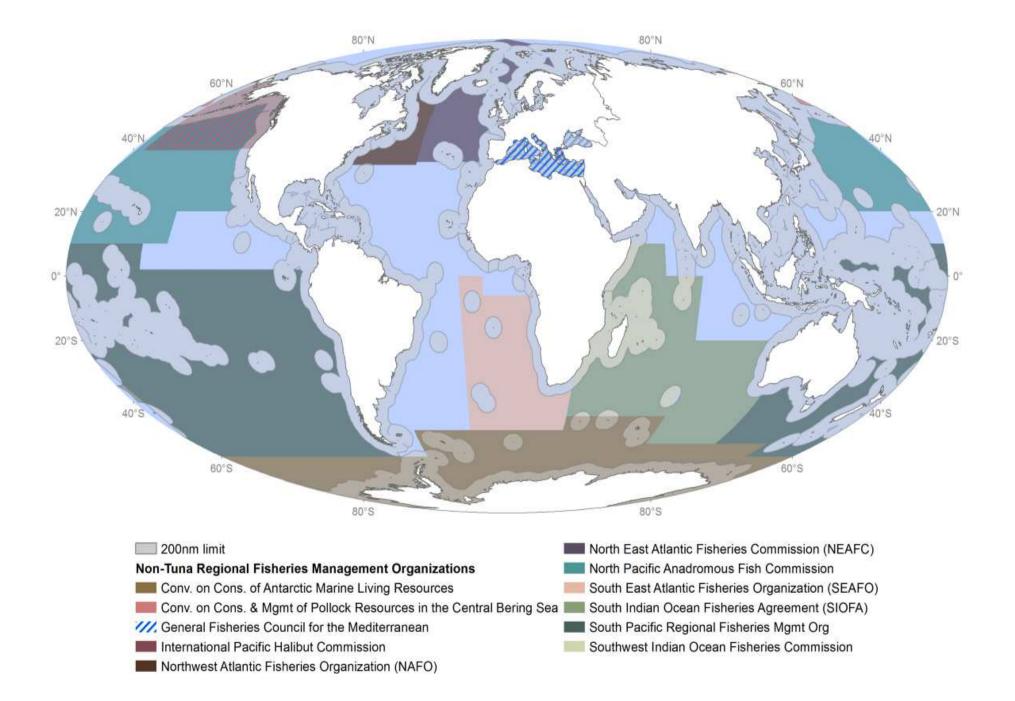


## International legal framework

- Multiple levels: global, (sub-)regional and bilateral bodies and instruments
  - Global bodies and instruments commonly provide jurisdictional framework
    - LOS Convention, Fish Stocks Agreement, FAO treaties
    - Conservation of target & non-target species and habitats (e.g. CITES, CMS & CBD)
  - Actual fisheries regulation commonly by (sub-)regional and bilateral bodies and instruments
    - RFMOs and Arrangements
    - Bilateral arrangements (access and regulation)
    - Non-legally binding FAO instruments (e.g. Code of Conduct)



Source: Ban et al. 00 Conservation Letters 1-14 (2013)



#### Source: Ban et al. 00 Conservation Letters 1-14 (2013)



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# International fisheries law and the Arctic

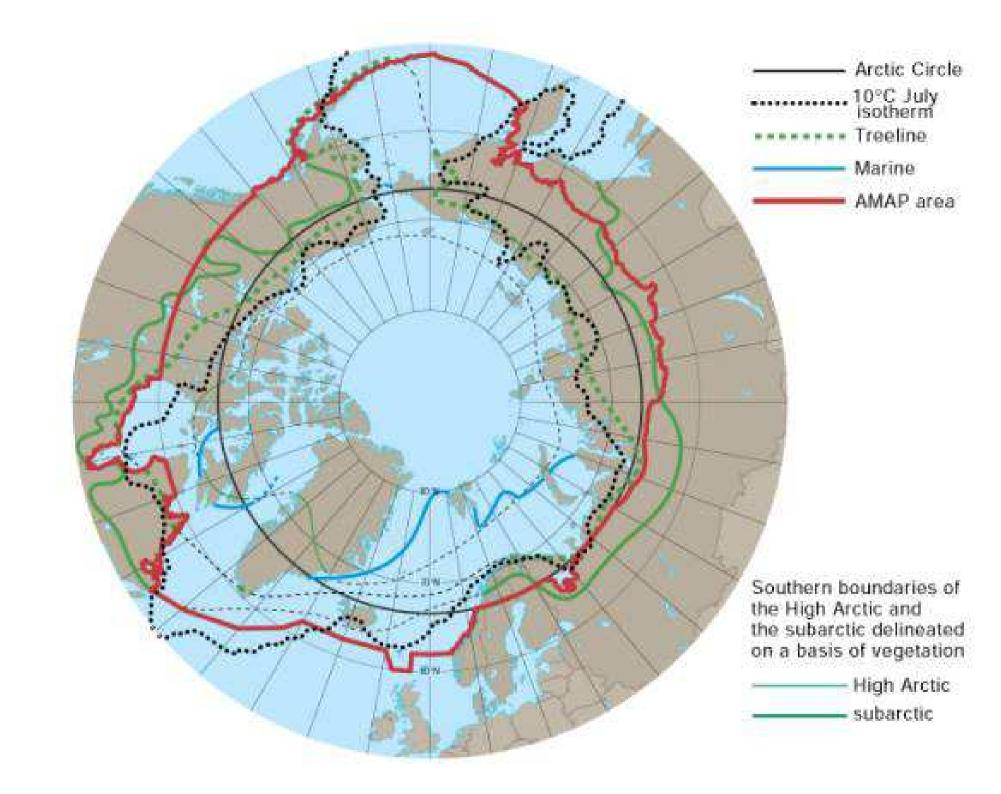
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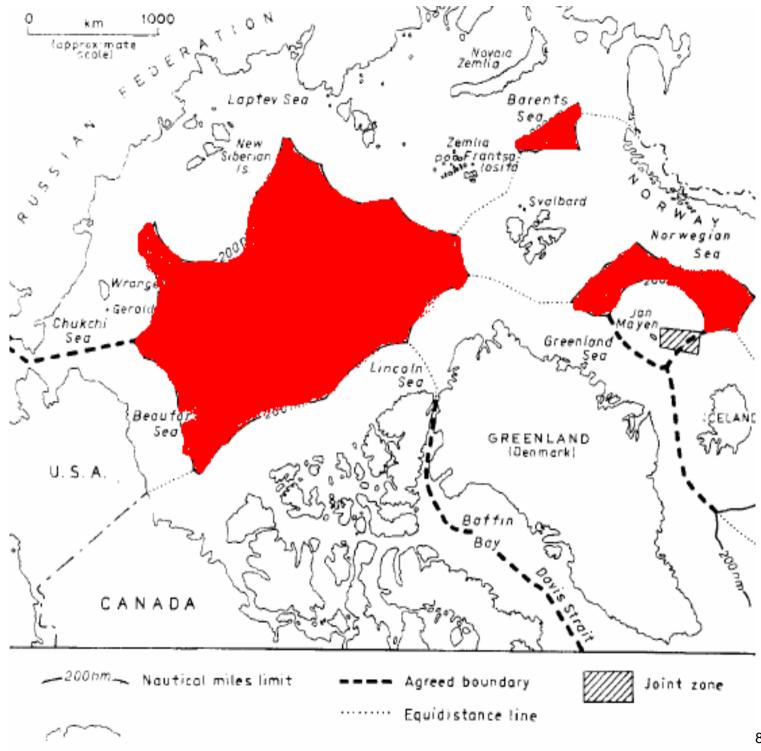


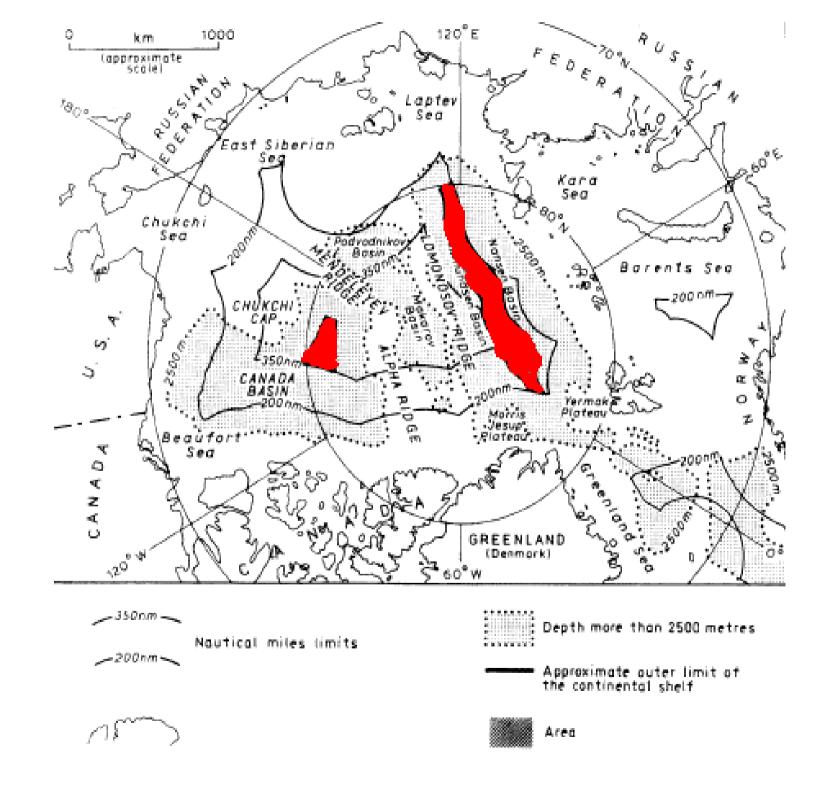
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## Introduction

- Arctic marine area vs Arctic Ocean
  - Arctic Ocean << Arctic marine area</li>
    - North of Bering Strait, Greenland, Svalbard & Franz Josef Land; not: Bering Sea and Barents Sea
    - Characteristics compared to more southerly areas:
      - Data, knowledge and insight in ecosystems limited
      - Currently no large-scale commercial fisheries and
        - in the high seas portion no fisheries at all
- High seas pockets: Central Arctic Ocean, Banana Hole, Loophole and Donut Hole
- 1 or 2 pockets of the deep sea-bed (Area)
- Five Arctic Ocean coastal states (Canada, Denmark/Greenland, Norway, Russian Federation and United States)









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## Introduction (cont.)

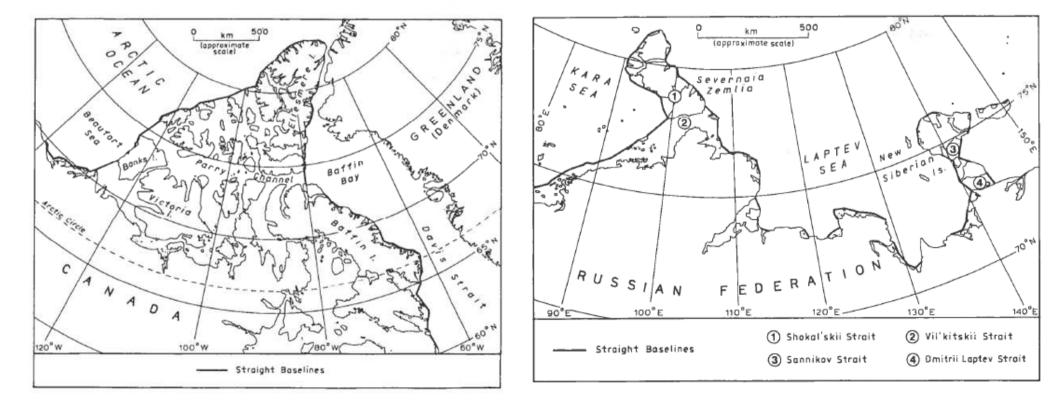
- No legal vacuum
  - All the global bodies and instruments on the law of the sea and international fisheries law apply to the Arctic marine area, however defined
- The international law regime relating to Antarctica is unique
  - Apart from Hans Island, no disputes on title to land territory in the Arctic
  - Maritime zones in Arctic Ocean comparable to any other ocean
  - Antarctic Treaty System is not an evident model for the Arctic

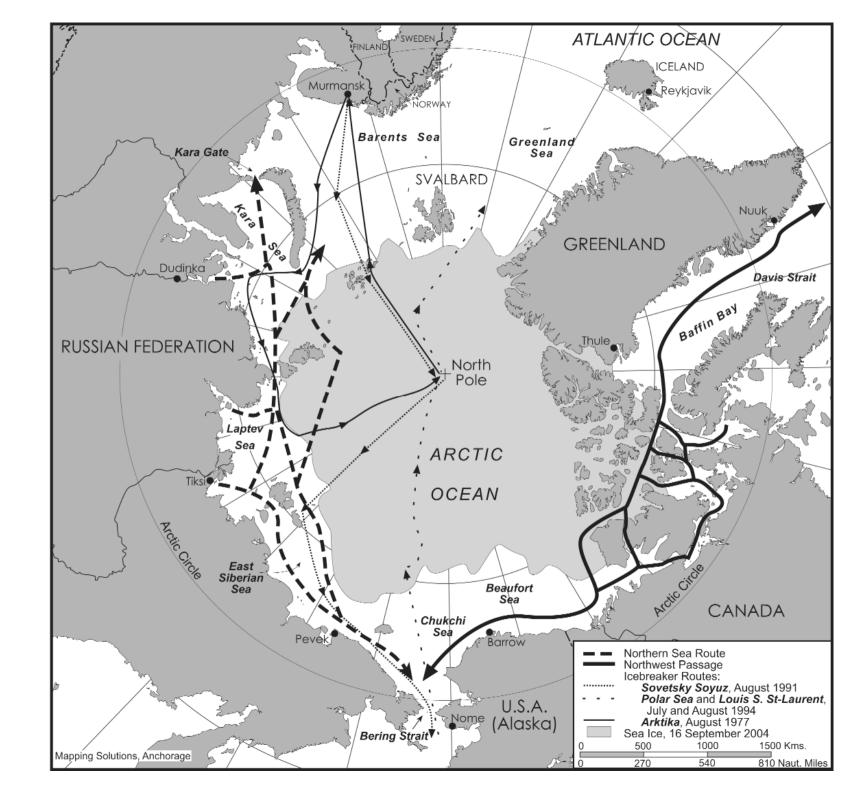


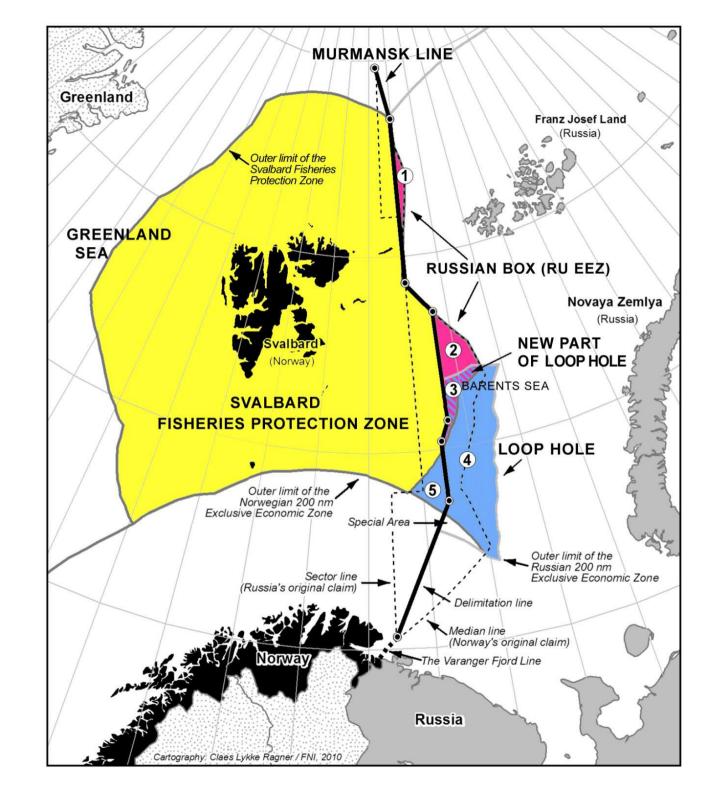
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## Introduction (cont.)

- Law of the sea disputes in the Arctic marine area
  - Straight baselines of Canada & Russian Federation
  - Claims to historic waters by Canada & Russian Federation
  - Status of Northwest Passage and Northern Sea Route
  - Geographical scope of application Treaty of Spitsbergen







RFMOs/As also relevant to	RFMOs/As also
Arctic marine area but not	(potentially) relevant
Arctic Ocean	to Arctic Ocean
<ul> <li>Central Bering Sea (CBS) Convention</li> <li>International Pacific Halibut</li></ul>	<ul> <li>North-East Atlantic Fisheries</li></ul>
Commission (IPHC) <li>North Pacific Anadromous Fish</li>	Commission (NEAFC) <li>Joint Norwegian-Russian</li>
Commission (NPAFC) <li>Yukon River Panel to Pacific Salmon</li>	Fisheries Commission <li>North Atlantic Salmon</li>
Treaty <li>Intergovernmental Consultative</li>	Conservation Organization
Committee (ICC) <li>Western and Central Pacific Fisheries</li>	(NASCO) <li>International Commission for</li>
Commission (WCPFC) <li>Northwest Atlantic Fisheries</li>	the Conservation of Atlantic
Organization (NAFO) <li>Loophole Agreement</li>	Tunas (ICCAT)





## Towards a regional agreement on Central Arctic Ocean fisheries

- 2007: northward species expansion triggers precautionary action within United States
- 2007: Arctic Council not interested
- 2009: Arctic Ocean coastal states (except US) object to any role of United Nations General Assembly (UNGA)



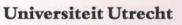
## Towards a regional agreement on Central Arctic Ocean fisheries (cont.)

- Arctic Ocean coastal state process
  - Policy/governance
    - Ministerial level
      - » Ilulissat (May 2008); no reference to fisheries
      - » Chelsea (March 2010)
    - Senior officials level
      - » Oslo (June 2010)
      - » Washington D.C. (April-May 2013)
      - » Nuuk (Feb 2014)
  - Science
    - Anchorage (June 2011)
    - Tromsø (Oct 2013)



## Towards a regional agreement on Central Arctic Ocean fisheries (cont.)

- Arctic Ocean coastal state process (cont.)
  - Nuuk meeting
    - Agreement on interim measures to prevent unregulated fishing in <u>Central</u> Arctic Ocean
  - Arctic Ocean coastal States Ministerial Declaration (when?)
- Broader process
  - By invitation only: China, EU, Japan, Iceland and South Korea
  - First meeting late 2014 or early 2015 in Canada?
  - Not RFMO but possibly treaty (Arrangement)





# Fisheries regulation in coastal state maritime zones of the Arctic Ocean

- Fish stocks expansion is likely to occur first in coastal state maritime zones
  - Consistent with the ecosystem approach & precautionary approach?
  - Compatibility with regulation in Central Arctic Ocean?
  - Gaps in cooperative arrangements between Arctic Ocean coastal states?



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## Thanks!

## Questions?



- 1982 UN Law of the Sea Convention (i.f. 1994)
- 1991 UNGA Resolution 46/215 on Large-scale pelagic drift-net fishing
- 1992 UNCED:CBD (i.f. 1993)Rio DeclarationAgenda 21
- 1993 FAO Compliance Agreement (i.f. 2003)
- 1995 UN Fish Stocks Agreement (i.f. 2001) FAO Code of Conduct on Responsible Fishing (+ Technical Guidelines)



- 1999 IPOA for Reducing Incidental Catch of Seabirds in Longline Fisheries IPOA on the Management of Fishing Capacity IPOA on the Management and Conservation of Sharks
- 2001 IPOA on Illegal, Unreported and Unregulated (IUU) Fishing
- 2002 Johannesburg Plan of Implementation of the World Summit on Sustainable Development



- 2005 FAO International Guidelines for the Ecolabelling of Fish and Fishery Products from Marine Capture Fisheries
- 2006 UNGA 'Fisheries' Resolution 61/105 (bottom fisheries)
- 2008 International Guidelines for the Management of Deep-sea Fisheries in the High Seas
- 2009 Guidelines to Reduce Sea Turtle Mortality in Fishing Operations Agreement on Port State Measures to Combat IUU Fishing (n.i.f.)



- 2010 Recommendations on Global Record of Fishing Vessels International Guidelines on Bycatch Management and Reduction of Discards
- 2012 Rio+20 Outcome Document 'The Future We Want'
- 2013 FAO Guidelines for Flag State Performance
- 2014 Voluntary Guidelines for Securing Sustainable Small-scale Fisheries in the Context of Food Security and Poverty Eradication